

## **A Cultural Species**

This chapter addresses the importance of human culture in two steps. First, I argue through three case examples that ‘culture’, understood as learned information stored in brains, is essential for understanding an enormous range of human behavior, from hunting technology to visual perception and social behavior. The primary goal of this section is to suggest that human brains are deeply affected by learning and developing in culturally-evolved environments. In describing this, and setting up the second portion of the chapter, I argue that much of this enculturation occurs through an ongoing process of imitation and practice that, while it continues throughout the human lifespan, has a substantial influence during our extended ontogeny (approximately ages 0 to 20). The second part suggests a way to approach the essentially cultural nature of humans by examining the psychological mechanisms of cultural learning that allow individuals to effectively extract adaptive behavior from the wash of information available in the social world. In closing, I briefly highlight how formal theoretical models that integrate these learning processes with social interaction, can illuminate a variety of sociological processes, including the formation of ethnic groups and emergence of large-scale cooperation.

### **Cultural learning is our primary mode of adaptation**

In contrast to other primates, humans have successfully spread to nearly every corner of the globe in a relatively short period of time, from the dry savannahs and tropical forests of equatorial Africa to the frozen tundra of the Arctic and the humid swamps of New Guinea. Humans are unique in their range of environments and the nature and diversity of their behavioral adaptations. While many local genetic adaptations exist in our species, it seems certain that the same basic genetic endowment produces arctic foraging, tropical horticulture and desert pastoralism—a constellation of adaptive patterns that represents a greater range of subsistence behavior than the rest of the Primate Order combined. In terms of hunting tools, for

example, some social groups use blowguns, others use bows, and still others rely principally on boomerangs, clubs or atlatls. As for social organization, different human groups arrange themselves by clans, matriline, moieties, phratries, and age-sets (just to name a few). Some social groups are segmentary, linking groups into larger and larger networks of relations; others recognize few relations beyond the immediate family. Similar lists marking the extraordinary range of human behavior—compared to all other species—could be constructed for an immense range of cultural domains.

If it were plausible that these variations were due to genetic differences and human evolutionary history was tens of millions of year deep, the story would perhaps not be so complicated. Yet humans have *less* genetic diversity than most other primates (e.g. chimpanzees) and substantially *more* behavioral variation. Furthermore, the natural experiments resulting from migrations and contact periods demonstrate that many if not most of these differences are maintained by social learning, not simply exposure to different physical environments (Boesch, this volume). This observation suggests that the immense success and diversity of the human species is rooted in capacities for social learning—i.e., our *cultural capacities*.

The essentially cultural nature of human behavior can be demonstrated with a comparison of bow-and-arrow hunting technology from two groups of nomadic foragers—the Hadza of Tanzania and San of Botswana. Because both groups rely primarily on bows and poisoned arrows for bringing down some of the same large game, these groups provide an interesting comparison. Table 1 lays out some of the details associated with Hadza and San foragers hunting technologies.<sup>1</sup> First, note the substantial difference in the size of the bows made by the two groups. Hadza bows are at least twice as long as the bows of San foragers, and have a ‘pull strength’ at least 6 times greater. Second, the Hadza fletch their poisoned arrows with feathers

from either guinea fowl or vultures, while San do not fletch at all. Third, while both groups use poison for big game, the San manufacture their poison from chrysomelid beetle larva and casings found below ground around the corkwood bush. The Hadza, despite living around numerous corkwood bushes, predominantly use two kinds of poisons, one made from Shanjo seeds (*Strophanthus eminii*, a tree-bush), and the other (the preferred poison) from Panjube sap that is extracted by boiling the Panjube branches until a tarry black substance emerges. Finally, San go through an extensive process to manufacture a quiver from the large lateral roots of an Acacia tree, while only the western Hadza use make hide quivers (Woodburn 1970: 31).

Clearly, the skills, detailed knowledge, and procedures that go into manufacturing this equipment, which is essential to bringing down big game, is acquired predominately through some kind of imitative learning process. There is no way an individual can figure out all the details (such as where to find the beetle larva, or which branches to boil) that go into making a successful hunting kit, without learning extensively from others. In all the ethnography on Kalahari foragers, we see no evidence that Kalahari hunters have experimented with longer (2+ meter) bows and fletched arrows, only to later reject these alterations. Woodburn (1970: 14) reports that enterprising Hadza have occasionally manufactured their bow staves from woods other than *mutateko* (*Dombeya kirkii*), but have always returned to *mutateko*—i.e., *most* Hadza have never experimented with alternative woods. Kalahari foragers have *not* been observed to routinely test a range of beetles, seeds and branches for their poison-making possibilities, they just learn to gather and process chrysomelid beetles from other group members. If the acquisition of the adaptive repertoire were principally a product of individual learning, every individual would have to go through a trial and error process in which fletching, various potential poisons, and different size bows were tested. Ridden with errors, this stochastic process (based on a small

number of trials) would generate massive within-group inter-individual variation, as some hunters would find different poisons and different sized bows most effective. Instead, while individual variation certainly exists, much of the variation is between groups. More importantly, detailed ethnographic observation corroborate this inference by showing that such manufacturing skills are acquired through a process of imitation and practice, not by free-ranging individual experimentation (Fiske 1998). In our species, cultural learning is essential to even the most basic elements of foraging.

One obvious question arises from the observation that individuals acquire much of their behavioral repertoire (like making a hunting kit) from other individuals in their social group: If most people imitate, how did these intricately integrated cultural adaptations arise in the first place? This problem can be solved by understanding the psychology of human cultural learning and attention. If individuals, in the course of learning, pay particular attention to the most skillful or successful individuals in their groups (e.g., to the best hunters or arrow makers), and people make some errors in imitation—or even occasionally innovate something—culturally-learned repertoires can become increasingly better adapted to local environments. Furthermore, this can occur without cost-benefit analysis, rationality, genetic change, or individual learning (Henrich 2002). More generally, the cumulative nature of human knowledge, practices, and technology cannot be understood without examining cultural learning.

A critic might be quick to suggest that the technologies discussed above could be explained as “optimal” given the details of the local ecology. While this may be true (it’s impossible to say), the claim is irrelevant for deeming such practices as ‘cultural’, or for studying them as cultural evolutionary products that were produced by the psychological processes that allow humans to acquire behavioral information by observation and imitation.

<b>Item/Process</b>	<b>San</b>	<b>Hadza</b>
Bow Size	1 meter or less	2.0-2.25 meters
Bow Pull	8kg – 10kg	60 kg
Bow shaft material	<i>Grewia flava</i>	<i>Dombeya kirkii</i>
Bow string material	Tendon of gemsbok, kudu or eland	Nuchal ligament of zebra, eland or buffalo, or the sinew of a giraffe
Bow string processing	Soaked and separated into fiber that are twined into a string 4m long, which is shortened via ‘gravity twisting’	Fibers are chewed until soft and then rolled on the thigh
Securing and protective materials	Sinew bindings attached near end of bow to secure bowstring	Fresh skin from the tail or metapodials of impala, eland or giraffe.
Arrow shaft material	<i>Reeds, grasses or Grewia flava</i>	Light woods with a pith core
Tuning bow string to correct tension	Based on musical pitch	
Fletching	None	Feathers from Guinea fowl or vulture (poisoned arrows)
Fletching attachment	NA	Mastic and helically wound single fibers of sinew plus glue from bulb
Arrow head	Fence wire (formally ostrich bone)	Metal with 1 or 2 barbs (2 barbs for female game, 1 for males)
Arrow poison source	<i>Diamphidia</i> sp. (beetle larva and protective casings) often mixed with <i>Acacia</i> gum	2 types: Shanjo seeds ( <i>Strophanthus eminii</i> ) & Panjube sap ( <i>Adenium</i> sp.)
Location of poison	20cm to 1 meter below ground near rare <i>Commiphora</i> bushes, typically harvested in late summer	
Processing of poison	Larval casing are rolled to homogenize them, and mixed with saliva, then baked to a crust on the arrow shaft	<i>Panjube</i> : chopped up branches of <i>Adenium</i> are squeezed & slowly cooked into a tarry black substance
Poison application & protection	Poison is applied to upper shaft	Applied to head and wrapped in impala hides
Poison use	Almost always	Primarily for big game
Quiver size	75 cm	No quivers
Quiver materials	Outer bark of lateral roots of <i>Acacia luederitii</i> tree	NA
Quiver materials acquisition	Dug up and cut out of ground, avoiding section with emerging rootlets	NA
Quiver materials processing	Any rootlets must be drilled out; root length is roasted. Steam allows outer covering to be separated with pounding and twisting action	NA
Quiver assembly	Root sheath is bound w/wet sinew. One end is sealed with moist hide	NA

### **Culturally-Constructed Cognitive Architecture**

The danger in the above examples is that, while they illustrate both the culturally learned and adaptive nature of human practices and behavior, they run the risk of suggesting that the

mind can be effectively divided into *structure* (the acquisition machinery) and *contents* (the knowledge and practices). However, a wide variety of evidence suggests that learning involves the construction of brain structures ('wiring'), which occurs throughout the human life course, but particularly during ontogeny (Quartz and Sejnowski 1997; Quartz 1999; Quartz 2002). Cortical gray matter continues increasing in the frontal and parietal lobes to age 12, in the temporal lobe until age 17 and in the occipital lobe through the first two decades of life. White matter increases in all areas to around age 22 (Giedd, Blumenthal et al. 1999). This extended ontogeny, which allows human brains to construct and adapt themselves to their local social and physical environment (the 'EOA' = Environment of Ontogenetic Adaptiveness), may lead to a wide array of cross-cultural variation in people's susceptibility to visual illusions, hunting skills, memory, notions of fairness, and tastes for punishing. In short, people from different cultures—having experienced different social and physical environments while their brains were developing—likely possess different cognitive architectures.<sup>2</sup>

Consider the barn owl. Feldman and Knudsen (1997) compared the brain topography and circuitry of two sets of barn owls. The first group had prism goggles cemented to their heads soon after birth, while the second (control) group was left unadorned. After a period of weeks, the goggle-wearing owls adapted their ability to visually locate sounds in space to equal the sound-locating performance of their non-goggle-wearing brethren. After this period, the researchers compared the optic tectums—the portion of owl midbrain involved in audio-visual processing—of the two groups, and produced evidence consistent with a topographical reorganization and the formation of new anatomical axonal projections (DeBello, Feldman et al. 2001; Hyde and Knudsen 2002). That is, the goggles caused the juvenile owls' brains to adaptively rewire themselves (Quartz 1999).

### *Culturally-constructed environments alter visual perception in humans*

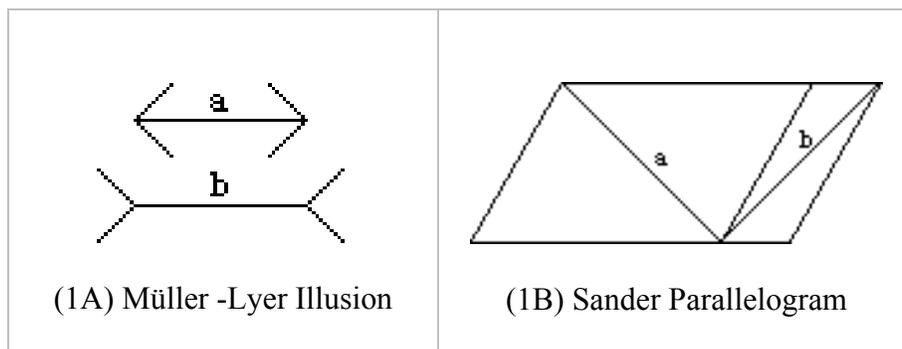
Like owls, human visual perception seems to respond to experience, and by conjectural inference from the owl data, human brains may rewire themselves via experience. Consistent with this hypothesis, cross-cultural experimental data demonstrates substantial differences in visual perception across populations. Building on W.H. R. Rivers' pioneering work, Segall, Campbell & Herskovits (1966) performed one of the few rigorously controlled cross-cultural experimental projects in the history of anthropology and psychology. This interdisciplinary project gathered data on the susceptibility of both children and adults from a wide range of human societies to five 'standard illusions'. Their results are numerous, so I will summarize only their findings for two of these visual stimuli, the Mueller-Lyer and Sander parallelogram illusions.

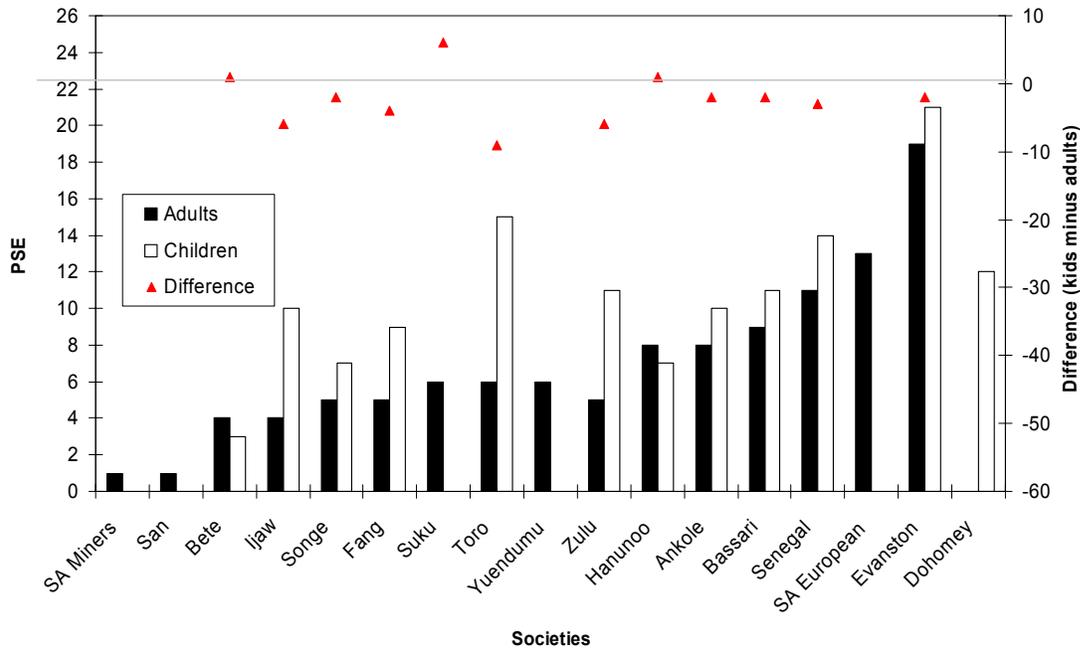
In the Mueller-Lyer illusion (Figure 1A), subjects from industrialized societies typically perceive that the horizontal line segment marked 'b' is longer than the horizontal line segment marked 'a', when in fact 'a' and 'b' are the same length. By varying the lengths of lines 'a' and 'b' and asking subjects which of the two is longer, researchers can estimate the magnitude of the illusion for each subject—by determining the approximate point at which an individual perceives the two lines as being the same length. For the Sander parallelogram (Figure 1B) subjects must again determine which line segment is longer, 'a' or 'b', and subjects from industrialized societies typically perceive 'a' to be longer than 'b' even when they are the same length. Again, using a series of different figures that vary the relative lengths of 'a' and 'b', researchers can assess the illusion's strength by estimating the point at which subjects perceive the line segments as equal.

Figures 2 and 3 summarize the results for the Mueller-Lyer and Sander parallelogram illusions, respectively, for the societies studied by Segall *et. al.* Of these 17 societies, there are 11

groups of African agriculturalists (some of whom also rely on foraging and pastoralism), one group of African foragers (San), one group of South African Europeans (Johannesburg), one group of Australian Aboriginal foragers (Yuendumu), 1 group of Philipino horticulturalists (Hanunóo), one mixed group of South African goldmine-laborers (SA Miners) and two groups of “Westerners” (Europeans and Americans). From 12 of these 17 societies, data was gathered from both adults (split equally into males and females, ages 18 to 45) and children (ages 5 to 11). In Figures 2 and 3, the left-hand vertical axis gives the ‘point of subjective equality’ (PSE) and corresponds to the vertical bars for adults (white) and children (black). PSE is a measure of the strength of the illusion for each group. It represents how much longer segment ‘a’ must be than segment ‘b’ before people perceive them as equal (until there is a 50/50 chance that people from that group will choose either ‘a’ or ‘b’). The right-hand vertical axis gives the difference between the PSE of the adults and children for each group and refers to the scatter of data points above the vertical bars.

Figure 1: Two of the illusions used by Segall *et. al.* in their cross-cultural study of ‘illusion susceptibility’. The lines labeled ‘a’ and ‘b’ in each figure are the same length, but some subjects perceive line ‘b’ as longer than line ‘a’ in Figure 1A, and ‘a’ as longer than line ‘b’ in Figure 1B.





**Figure 2: Mueller-Lyer Results for Segall *et al.*'s cross-cultural project. PSE is the percentage that segment 'a' must be longer than 'b' before individuals perceive them as equal.**

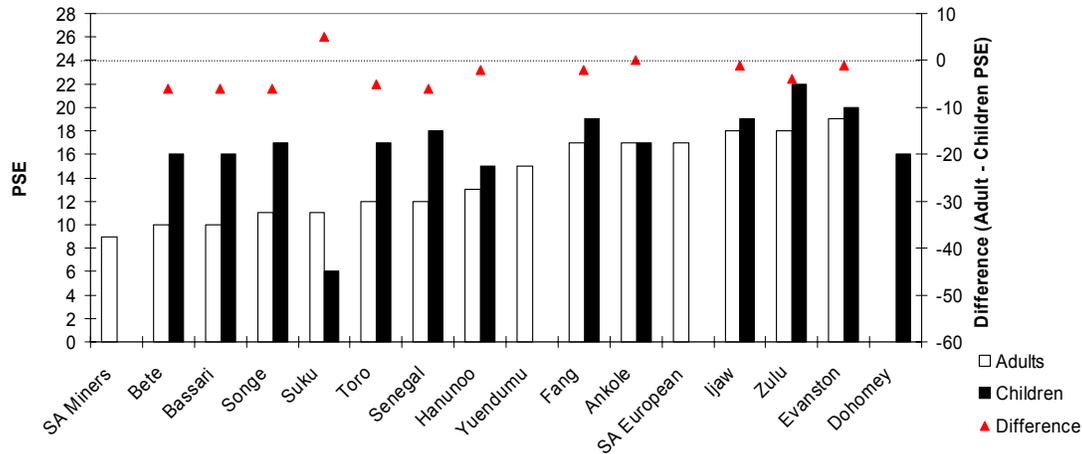
The results for the Mueller-Lyer stimuli show substantial differences among these social groups in their susceptibility to the illusion. American adults in Evanston (Chicago) are the most susceptible. On average, these adults require that segment 'a' be about a fifth longer than 'b' before they perceive them as equal (PSE = 19 percent). At the other end of the 'susceptibility spectrum', San are virtually unaffected by this so called 'illusion', requiring that segment 'a' be only one percent longer than segment 'b' before seeing them as equal (PSE = 1 percent). Looking across Figure 2, while there is significant variation across the range of social groups, there is a jump between the rest of the world and Evanston.

Comparing across societies, children show a similar pattern to the adults on the Mueller-Lyer illusion. PSE scores range from over 20 percent among Evanston children to 3 percent among Bete kids. The PSE scores for children correlate with their adult counterparts,  $r = 0.81$ —

indicating that most of the cross-cultural effect is in place by age 11. Moreover, the amount of cross-group variation drops from a standard deviation of 5.5 among children to 4.5 for adults—that is, there is more cross-cultural variation in children than adults, so adolescence acts to reduce this variation. Developmentally, the scores show a fairly robust pattern: adults are consistently *less* susceptible to the illusion than children. This is illustrated by the scatter of triangles on the upper portion of Figure 2. The triangles (which refer to the right vertical axis) plot the difference between the PSE scores of the adults and children in each society. With three exceptions, the adults' scores are consistently less than the children of their society (in Figure 2, these are the triangles below the dotted zero-line). Of the 3 exceptions, only one is much above zero: Suku children were, on average, not susceptible to the illusion (and provided the lowest score of all the groups). These findings are consistent with more detailed developmental data from U.S. populations showing that adults are less susceptible to the illusion than children (Walters 1942; Wohlwill 1960). I return to this pattern below. Finally, note that while children were generally equal to or greater than adults *from their social group* in susceptibility, this pattern does not hold if we compare children and adults from different societies. Many child-samples are less susceptible to the illusion than adult-samples from other societies.

Figure 3 shows parallel findings for the Sander parallelogram. As above, susceptibility to the illusion varies from a high of 19 percent in Evanston to a low of around 10 percent for the SA Miners and Bete (agriculturalists/foragers from the Guinea Coastal area). The PSE scores for children and adults show the same patterns discussed above. Children's scores correlate with adults at 0.60, and if we remove the aberrant Suku, the correlation jumps to 0.76. The variation between groups in PSE scores drops from children (std. dev. = 5.8) to adults (std. dev. = 3.47). Thus, as with the Mueller-Lyer illusion, children's susceptibility is greater than or equal to that

of the adult members of their social group for all groups (except the Suku). Interestingly, there is a gradual decrease in the size of the adult adjustment with increasing children's PSE scores, leading to the same general point: most of the 'adult-level' variation is in place by age 11, and adolescence (age 11 to 20) acts only to reduce the size of this variation.



**Figure 3. Sander Parallelogram Results from Segall et. al.'s Cross-Cultural Project**

Detailed developmental data from several studies in the United States on the Mueller-Lyer illusion shows that susceptibility generally *decreases* from ages 5 to 12, reaching its lifetime low at the onset of adolescence, and then increases from 12 to 20. The decrease from five to 12 is larger than the subsequent increase in susceptibility, leaving adults less susceptible to the illusion than five year olds, but only because of the pre-adolescent decrease (Wapner and Werner 1957). After 20, susceptibility to this illusion does not change again until old age (Wapner, Werner et al. 1960; Porac and Coren 1981).

Together these data suggest several important inferences. First, 'carpentered environments' and 'perceptual art', or whatever it is that accounts for the cross-group differences, likely has its effects between the ages of zero and 20, but not afterwards. Second,

whatever causes the variation has much of its effect before age 11, otherwise children in the cross-cultural sample would not mirror the adult pattern. Third, these data suggest that variables like ‘experience in a carpentered environment’ can be misleading. What matters is *not* ‘experience in carpentered environments’ (or whatever the relevant variable), but ‘experience in a carpentered environment *before* age 20’. This is relevant to critics of the ‘carpentered environment’ hypothesis who have suggested that it fails because males and females in many of these societies have experienced substantially different amounts of contact with ‘carpentered environments’ (males spend much more time in seeking wage labor in cities), yet males and females consistently show little or no difference in illusion susceptibility. However, from the cultural developmental perspective, the observation confirms rather than contradicts the hypothesis, as females and males live in nearly identical visual environments between the ages of 0 and 12, when much of the effect seems to occur. Thus, males and females should be similar.

While different visual experiences during ontogeny affect both owls and humans, there is an important difference: the human effect is the product of growing up in a culturally-evolved environment. As with foragers’ bow and arrow technology, rectangular houses, ‘carpentered corners’, angular furniture and perspectival drawings, are products of particular cultural evolutionary trajectories. These environmental elements evolved non-genetically, through social learning, over centuries. There was surely a time in human history when—assuming Segall *et al.*’s hypotheses are correct—the Mueller-Lyer illusion was not an illusion at all. Through an interaction between a particular line of cultural-technological evolution and the ontogenetic processes of brain development, these illusions were likely brought into existence. Cultural evolution has likely generated changes in brains without any changes in genetics.

### *Culturally-Evolved Social Behavior*

As with their visual environments, human groups also experience different culturally-evolved *social* environments. It is within these culturally-evolved social environments that human brains continue their ontogenetic development. Through these ontogenetic processes, which deliver most of their effects between 0 and 20, individuals acquire cultural rules, preferences, beliefs and the mental models of the social and physical world that propel their behavior. This learning process is fundamentally social: A combination of cross-cultural anthropological work (Lancy 1996; Fiske 1998), developmental psychology (Karmiloff-Smith 1994; Meltzoff and Prinz 2002) and neuroscience (Quartz and Sejnowski 1997; Quartz 1999; Quartz and Sejnowski 2000; Quartz 2002) suggest that children acquire their cultural understandings of the world through a process of imitation and practice that builds an increasingly hierarchical, integrated and abstracted understanding of the world. First, learners acquire the ‘rules’ of behavior by observation and direct imitation of the simplest components (a pattern that parallels language learning). Then, learners practice these bits of behavior in an ongoing process of rehearsal. As they master the bits, they gradually connect them through both higher-level forms of imitation (e.g., imitating strategies) and direct experience. Some developmental psychologists have increasingly characterized infants and children as ‘imitation machines’ (Tomasello 1999), with infants mimicking facial movements soon after birth (Meltzoff 2002). These developmental patterns, which strongly differentiate humans and non-humans, suggest that ‘imitation’ is one of the essential developmental tools that natural selection has deployed to ratchet-up human cognitive abilities and adapt us to the vast range of local social and physical environments (e.g., New Guinea swamps and moieties) that I mentioned at the outset of this chapter.

A combination of recent experiments, deployed both cross-culturally and developmentally, suggests that growing up in a particular place has a substantial impact on social behavior. More specifically, experimental techniques designed to measure an individual's social preferences—e.g., their 'altruism', 'sense of fairness' and 'taste for punishing unfairness' in anonymous others—yield evidence of important cultural variation. First, these social preferences are principally acquired over the first 20 years of life, although relatively smaller modifications may occur later. Second, growing up in different places results in quite different patterns of adult behavior, and these cultural patterns are likely largely in place *before* adolescence. Third, the learning sequence for social behavior is consistent with learning the 'rules' (or cultural models) first, and later integrating those rules with strategic considerations that operate within the context of the rules and associated expectations. Finally, as a corollary to these learning processes, having grown up in a particular place has a substantially larger impact on adult behavioral variation than individual-level variables like sex, age, income, wealth, education and wage labor experience. Thus, the substantial variation in social preferences (e.g., 'sense of fairness') that we observe across human social groups likely results from experience in different EOAs and the human propensity for cultural learning, not principally from differences in adult experiences (in parallel with 'illusion susceptibility'). Because space is limited and the data are most extensive for the Ultimatum Game, I focus only on these results.

The Ultimatum Game (UG) is a two-person bargaining experiment that has been extensively tested on undergraduate populations by experimental economists, psychologists and economic sociologists (and Roth 1995 for reviews; see Camerer 2003). In the base-line experimental setup, two 'players' are anonymously paired to divide a sum of real money (games are played with cash, which they players actually receive, and there is no deception). The first

player, often called the ‘proposer’, must decide how much of the total sum (‘the pot’) to allocate to a second player, who is called the responder. Upon receiving an offer from the proposer, the responder must decide whether to “accept” the offer from the proposer, or “reject” it. If the responder accepts the offer, he/she receives the amount of the offer, and the proposer gets the remainder. If the responder rejects the offer, both players get zero (the pot vanishes). Both players are fully informed of the situation: they know the game is one-shot (it will not be repeated) and that they will never know the identity of the other player. From the perspective of self-interested rational actors, this experiment leads to a straightforward prediction: responders should accept any non-zero offer, and proposers, realizing this, should offer the lowest non-zero amount possible.

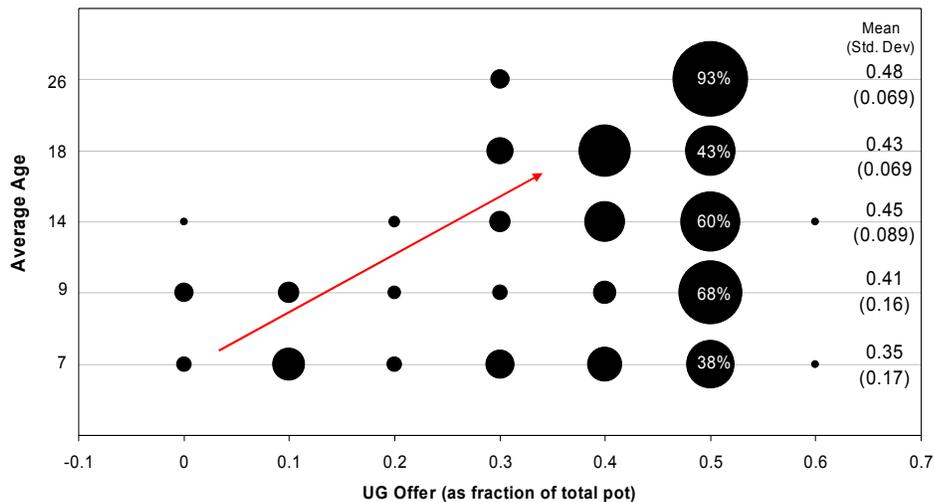
Using adult participants (age 22+) from industrialized societies, the UG show robust results. The strong modal offer is a 50/50 division. Offers above 50 percent of the total pot, and below 30 percent are rare, and low offers are often rejected. Among undergraduates, for whom we have by far the largest database, the overall patterns are similar, although offers tend to be slightly lower. This research also shows that ‘stake size’ (the amount of money in the pot), ‘sex’ and adult ‘age’ (for those over 22) do not significantly influence game behavior (Camerer and Hogarth 1999; Camerer 2003).

Recently, Harbaugh and associates (2002) have begun administering these experiments to children (ages 7 to 18) in rural Oregon in order to explore the developmental trajectories of social preferences. As an additional point of comparison, I have included data from a sample of graduate students from UCLA that provide a point of reference for ‘adults’ (ages 22+). Interestingly, the youngest children (age 7, 2<sup>nd</sup> grade) conformed most closely to the economists’ model of rational self-interest—they made smaller UG offers than older children (and adults),

and were more likely to accept lower offers. Figure 4 provides a comparative plot for these data. Each age cohort is labeled along the vertical axis such that the distributions of offers for each age cohort can be examined by reading horizontally across the possible offer amounts, which label the x-axis. The relative sizes of the bubbles graphically show the proportion of the samples for each cohort that made the corresponding offer. In the 50 percent offer bubbles I have included the actual percentage. The plot illustrates a gradual movement from higher variance and a greater proportion of low ('selfish') offers among 7 year olds to lower variance and a higher proportion of 50/50 among older individuals. The trajectory is, however, not linear. By age 9 (4<sup>th</sup> and 5<sup>th</sup> grades), 68 percent offer 50 percent and only 18 percent are making offers of 20 percent and below. However, by age 18 (12<sup>th</sup> grade), the lower offers have entirely disappeared, yet the fraction of 50/50 has also dropped to 43 percent, reflecting a shift from 50 percent to 40 percent offers—as we'll see, this may reflect an interest in strategic thinking. In total, 88 percent of the 18-year old cohort makes either 50/50 or 60/40 offers. Considering these data in light of the previous work on moral development (e.g., Kohlberg 1976) suggests that by age 9 (5<sup>th</sup> grade) most children in our society have learned the 'cultural rules' or 'cultural models' that govern behavior in the UG situation, and, lacking a higher level integration, they stick close to these rules. After age 12, we observe an increasing amount of 'strategic reasoning' within the context of these cultural rules.

Figure 5 examines both the learning trajectory of the 'taste for punishment' (as measured by responder's behavior) and the amount of strategic behavior on the part of proposers in different age cohorts. This plot includes data from adults in rural Missouri and from an ethnic group in Detroit (the Chaldeans<sup>3</sup>) to provide 'adult' points of reference (the UCLA data lacked any rejections). The black bars on Figure 5 give the 'income maximizing offer' (IMO) for each

age cohort. The IMO is the amount a proposer would offer if he wanted to maximize only his income from the game, and he had full knowledge of the probability of rejection at each possible offer amount. Thus, a group’s IMO captures the strength or willingness of its responders to punish offers they deem ‘unfair’. The black bars in Figure 5 show the development of an increasing taste for punishment. The black and white bars together (stacked) reach to the mean offer for each group; thus, the white bars express the difference between what the proposers give and what the responders demand. In Missouri, the IMO (50 percent) is *higher* than the mean offer (48 percent)—thus, the ‘top-down’ black to white shading.

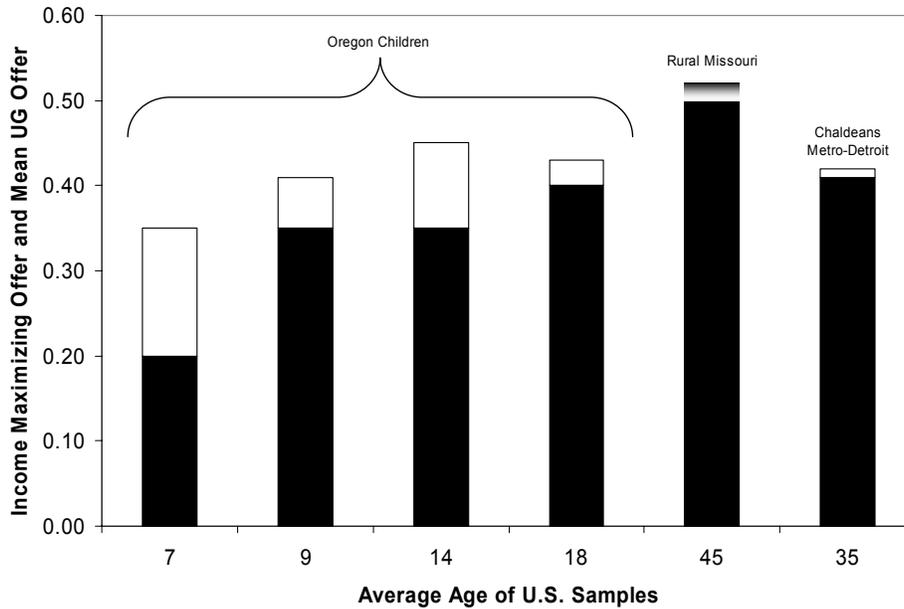


**Figure 4. The distribution of Ultimatum Game offers for 5 different age groups. The distribution should be read horizontally, with the size of the bubble illustrating the proportion of the sample making the corresponding offer.**

It is worth noting that the ‘sense of fairness’ (the UG offer) evolves to near its adult value by age 9 (the modal offer), and is lagged by the acquisition of a ‘taste for punishment’. This sequence is interesting, since some economists have supposed that the ‘taste for punishment’ drives the apparent fair-mindedness of proposers among university populations.

Developmentally, this is not the case, as fairness precedes punishment: children first learn the

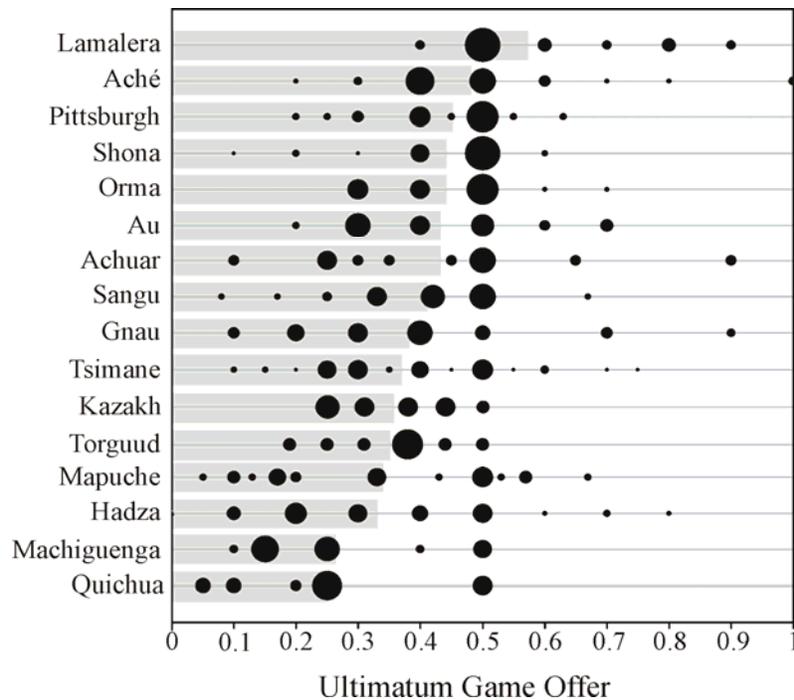
normative behavior (give half), and then develop the motivation for punishing norm violators at a cost to themselves.



**Figure 5. IMO and Mean UG Offers compared for a range of age cohorts in the United States.**

Some researchers might seek to view the above trajectories as a more or less fixed pattern of ‘moral development’ that is part of a reliably developing aspect of ‘species-specific cognitive architecture’. For example, the robust results of the UG among university students was initially interpreted by some as the operation of evolved cognitive modules for social interaction (Hoffman, McCabe et al. 1998; Nowak, Page et al. 2000) that arose in the human lineage via natural selection operating through the logic of repeated interaction (Trivers 1971; Axelrod 1984). This evolved piece of cognitive machinery, which would have allowed humans to take advantage of cooperation in small groups of repeated interactants, is assumed to ‘misfire’ in the context of the one-shot UG, thereby causing people to behave fairly and punishment unfairness.<sup>4</sup> However, UG data gleaned from 15 small-scale societies as part of a unified inquiry into human social behavior makes this position increasingly difficult to maintain (Henrich, Boyd et al. 2004).

The results show substantially more variation across the human spectrum than is observed in comparing U.S. samples ranging in age from 7 to 70.



**Figure 6. Bubble size represents the proportion of offers made at each amount for each group. The edge of the lightly shaded horizontal gray bar is the mean offer for that group.**

Figure 6 presents the UG offer distributions in the same format as with the developmental data. The social groups represented include three groups of hunter-gathers (Lamalera, Ache and Hadza), four of pastoralists (Orma, Sangu herders, Kazakhs, Torguuds), six of horticulturalists (Quichua, Machiguenga, Gnau, Au, Achuar and Tsimane) and three of small-scale agriculturists (Shona, Mapuche, Sangu farmers). Focusing on proposers' offers, the results show substantial variation, both within and across groups. The mean offers range from 25 percent among the Quichua of the Ecuadorian Amazon to 58 percent among the whale hunters of Lamalera (Indonesia). The modes span the range from 15 percent among the Machiguenga of the Peruvian Amazon to 50 percent among a wide range of groups. However, while the range of mean offers is large, they cover less than 50 percent of the possible spectrum. Over 80 percent of all offers

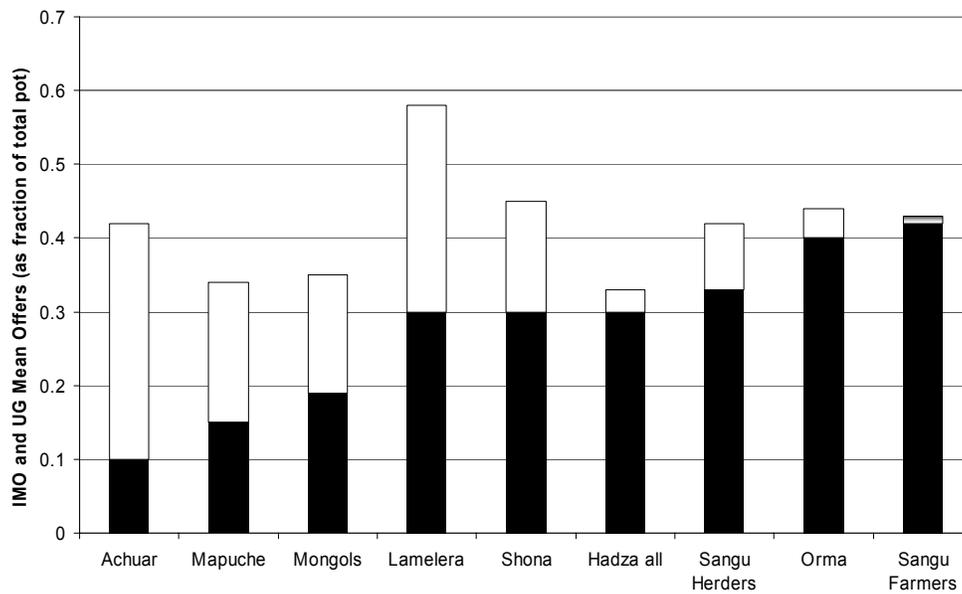
fall between 10 percent and 50 percent (inclusive). Analyzing individual offers using multivariate linear regressions involving age, sex, income, education, wealth and social group (group-level dummies), shows that only ‘social group’ captures any significant portion of the variance.

Comparing adult behavior from these societies to both U.S. adults and children shows substantial differences, with some societies favoring lower offers than the 7 year-olds, and showing less preference for the 50/50 offers. Thus, the learning trajectories that produce adult social behavior among Machiguenga, Quichua, Hadza, Tsimane and Mapuche likely follow quite different paths than that observed in Oregon, with substantial divergence in place by age 9.

As with the proposers, the behavior found among responders shows substantial cross-group variation. Some groups, like the Tsimane and Machiguenga in the Amazon, have few or no rejections at all despite a large number of low offers. Thus, the hypothesis that humans will reliably develop a ‘taste’ for punishing unfairness (or inequity), and that this taste will be extended to include individuals in one-shot, anonymous transactions, is not supported. Yet, by age 7, children in Oregon have already acquired some ‘taste’ for punishing anonymous others. The combination of these results suggests that the cultural differences in ‘tastes for punishment’ are emerging by age 7, and substantial by age 9. At the other end of the spectrum, groups like the Hadza, Gnau and Au, show rejection rates as high, or higher, than those found for university students. Interestingly, the two New Guinea groups (the Au and Gnau) both show a willingness to reject offers above 50 percent.

For groups with some rejections ( $>2$ ), we estimated their IMO’s and plotted them on Figure 7 using the same approach as with the kids. Note that IMO’s could not be estimated for several groups because of a lack of rejections (despite low offers); thus, the societies plotted on

Figure 7 likely represent the ‘high end’ of the IMO spectrum. As above, the black-to-white shading used on the Sangu farmers’ bar indicates that their IMO was higher than their mean UG offer. These cross-cultural results show that IMO’s vary in the adult populations from below that found among 7 year olds in the United States to roughly the same as that found among U.S. adults. The agreement between UG means and IMO’s (the white section) also varies substantially from near zero for Hadza foragers and Sangu farmers to large values among the whale-hunters of Lamalera and the Achuar.



**Figure 7. IMO and UG Mean Offers for several societies. The black bars give the IMO and the stacked black-white combination reaches the mean UG for each group.**

Consistent with the proposer findings, the responder data also show that age, sex, income, education and wealth do not significantly predict the likelihood of a rejection. Examining the data across all groups, only ‘social group’ and ‘amount offered by proposers’ are important predictors of responder behavior (accept or reject). The likelihood of a rejection increases as the proposer’s offer decreases. Controlling for this, the likelihood of rejection still varies

significantly across our 15 groups. The combination of these developmental and cross-cultural results suggests that a ‘taste for punishment,’ like ‘fairness,’ is—at least partially—an acquired taste, whose flavor and intensity strongly depend on the cultural environment one grows up in.

In this section, I have highlighted two age trends in UG behavior. Among Oregon children, we observe that both a ‘sense of fairness’ (i.e., a preference for equitable distributions) and a ‘taste for punishment’ increase (non-linearly) with age, between ages 7 and 26. However, among adults from both industrialized societies and a wide range of small-scale societies, no ‘age effects’ have been observed. In fact, individual-level variables like age, sex, income, education and wealth are notoriously non-predictive. In light of the above data, I argue that the first effect (cultural learning during ontogeny) explains the second observation (little importance of ‘adult’ individual level variables). If individuals acquire their ‘taste for punishment’ and ‘sense of fairness’ principally during ontogeny, as Harbaugh et. al. suggest, then adult variables such as adult age, income and wage labor participation, that may vary over an individual’s *adult life course*, will show a relatively small effects vis-à-vis the cultural environment of ontogeny—because social preferences are mostly constructed during ontogeny.

This explains another pattern in the cross-cultural UG data: the presence of group-level effects of market integration in the absence of any significant individual-level effects of market participation (Henrich, Boyd et al. 2004). The analysis shows that a *group’s* market integration is a significant predictor of its mean UG offer: higher levels of market integration predict higher UG offers. However, looking at the within group analyses across the field sites, individual-level measures of market exposure (like wage labor participation or cash cropping) consistently fail to reveal predictive effects. This is an apparent refutation for those (like evolutionary psychologists and economists) who don’t consider the cultural learning cultural models and preferences.

Typically, the argument about the effects of markets runs like this: the experience of working or operating in markets and market institutions alters an individual's beliefs or preferences vis-à-vis the kinds of anonymous transactions that characterize the UG, thereby leading to higher offers (i.e., more 50/50 offers). Thus, market exposure affects individuals directly as adults, and group-level differences merely represent the aggregate effects of the adults' market exposure. From such theoretical premises, while our group-level effects of market integration stand as a partial confirmation of the hypothesis, the failure of individual-level measures presents a prickly puzzle.

However, now consider this theoretical proposal from the perspective of the 9-year olds in rural Oregon, 77 percent of whom offered 40 percent or 50 percent of the total pot in the UG, and achieved a mean offer of 41 percent (equal to or greater than most of the adult samples in small-scale societies). It seems likely that if we were to measure the 'market integration' of these kids using variables like wage labor or commercial selling, we would find them to be one the least market integrated groups in our 15-society sample, yet they have one of the highest mean UG offers and a substantial IMO compared to many of our social groups. However, while not actually participating in any wage labor themselves (or very little), they have grown up in one of the most market-integrated societies in the world. As a consequence, they are indirectly acquiring the cultural models, preferences, habits, and beliefs that will allow them to function effectively as adults in their society. Thus, this combination of cross-cultural and developmental data suggests that having grown-up in a more market integrated society may make one more likely to offer half in the UG as an adolescent or adult, but subsequent market experience may not substantially alter behavioral patterns acquired while growing up. This approach predicts that group-level measures, to the degree that they reflect and correlate with the EOA's of the experimental participants, will predict the mean behavior of the group. At the same time, this

cultural learning approach predicts that post-adolescent adult variations in income, age, and wealth will not substantially predict game behavior, although small effects should be anticipated, as adults continue learning throughout their lives.

### **Programmed for adaptive cultural learning**

Unfortunately, these observations of cultural differences alone do not get us very far: People learn their culture by growing up in a particular place, and this learned ‘culture’ affects their decisions and behavior. These observations, despite being essentially ignored by Economics, Biology, Political Science and most of Psychology, have been at the foundation of Anthropology since early in the 20<sup>th</sup> century, and have provided the unquestioned point of departure for most anthropological inquiry. Yet, while likely being true in the broadest sense, they have not proved particularly useful in my view. Below, I attempt to show how evolutionary theory can be applied to the ontogenetic dilemma faced by individuals growing up in a particular place, to understand the psychological learning processes that individuals use to adaptively acquire their behaviors, skills, preferences, tastes, knowledge and mental models of how the world works.

The claim here is that understanding culture and cultural learning requires understanding the psychological processes that construct our minds, making them ‘self-programmable’ (Pulliam and Dunford 1980). From this point of departure an immense variety of questions can be posed. One question that allows theorists to address a variety of anthropological issues related to cultural adaptation, diversity, and history focuses on how individuals ‘figure out’ who, what and when to imitate. An evolutionary approach suggests that natural selection will favor cognitive mechanisms that allow individuals to more effectively extract adaptive information, strategies, practices, heuristics, and beliefs from other members of their social group at a lower

cost than that demanded by vertical transmission or individual learning (experimentation, etc.). Below, I briefly summarize research on one such mechanism, prestige-biased transmission.

### *Prestige- biased Transmission*

If individuals vary in skills (e.g., tool making), strategies (e.g., tracking techniques), and/or preferences (e.g., for foods) in ways that affect fitness, and at least some components of these differences can be acquired via cultural learning, then natural selection may favor cognitive capacities (or biases) that cause individuals to preferentially learn from more skilled or knowledgeable individuals. The greater the variation in acquirable skills among individuals, and the more difficult those skills are to learn via individual learning (trial and error), the greater the pressure to preferentially focus one's attention on, and imitate, the most skilled individuals. In laying out this evolutionary process, Gil-White and I (2001) have called learning capacity "rank-biased transmission": Individuals rank potential 'cultural models' (i.e., individuals they may learn from) along dimensions associated with underlying skills (e.g., hunting returns), and focus their social learning attention on the most highly ranked (i.e., those most likely to possess acquirable skills, practices, etc.).

This theory suggests that because underlying traits like 'skill' and 'knowledge' are often difficult to observe, success and achievement measures are used as proxies. This explains the widespread observation that people copy successful individuals. Further, because the world is a noisy, uncertain place, and it's often not clear which of an individual's many traits have led to their great success, this approach suggests that humans have evolved the propensity to copy a wide-range of cultural traits from successful individuals, only some of which may actually relate to the individuals' success. If information is costly, this strategy will be favored by natural selection even though it may allow neutral and somewhat maladaptive traits to hitch-hike along with adaptive ones. In a world of costly information, cognitive adaptations don't always produce

adaptive behavior (even in ancestral environments), but this approach allows one to systematically predict the circumstances of maladaptation (Boyd and Richerson 1985).

Besides ‘skill’ and ‘success’, natural selection should favor any reliable cues that allow imitators to more effectively focus their attention on models that are likely to possess behaviors and strategies that are both readily learnable and adaptive for the imitator. For example, assuming the sexual division of labor is relatively old in the human lineage, imitators should preferentially focus their attention on successful members of *their own sex* because these individuals are likely to have skills and knowledge that will be useful to learners in their roles in later life. Further, children should not only preferentially copy members of their own sex, but should pay particular attention to children who are somewhat older than themselves. By preferentially imitating individuals who are skilled, older and of their same sex, imitators increase their likelihood of acquiring adaptive behaviors and strategies, and can effectively scaffold themselves up to increasingly more complex skills: If you are an 8-year old, you would be wise to first master the skills of the most successful 11-year old before aspiring to imitate the most successful 16 year old. Once you’ve mastered the skills of the 16-year old, perhaps you will focus your attention on learning from the most skilled adults in your village. Other criteria like ‘self-similarity’ and shared ethnic markings can also facilitate more adaptive cultural learning (McElreath, Boyd et al. 2003).

This line of evolutionary thinking suggests that once rank-biased transmission (as an innate cognitive ability) has spread through the population, highly skilled individuals will be at a premium, and social learners will need to compete for access to the most skilled models. This creates a new selection pressure on rank-biased learners to pay deference to those they assess as highly skilled (those judged most likely to possess useful information) in exchange for preferred

access (and perhaps assistance, hints, etc.). Deference benefits may take many forms including coalitional supports, general assistance (chores), caring for the offspring of the skilled, gifts, etc. Such deference patterns provide a costly cue of whom other individuals believe is highly successful or skilled because deference is ‘paid’ to such individuals in exchange for copying opportunities—faking the cue would require paying deference to the unskilled.

With the spread of deference for highly skilled individuals, yet another opportunity is presented for natural selection to save on information costs. Naïve entrants (say immigrants or children), who lack detailed information about the relative skills and successes for potential cultural models, may take advantage of the existing pattern of deference, and use ‘received deference’ as a cue of underlying skill. Assessing differences in deference patterns provides a ‘best guess’ of the skill ranking until more information can be accumulated over time. This also means skilled individuals will prefer deference displays that are easily recognized (public) by others. Thus, along with the ethological patterns dictated by the requirements for high fidelity social learning (proximity & attention), deference displays also include diminutive body positions and socio-linguistic cues. The end point of this process gives us the psychology, sociology and ethology of *prestige*, which must be distinguished from those associated with phylogenetically older *dominance* processes (see Henrich and Gil-White 2001 for details).

From this theory, Gil-White and I (2001) derived and tested 12 predictions about the interrelationships between preferential imitation and influence with prestige deference, age, sex, memory, and ethological patterns (e.g. gaze and skill). Specifically regarding imitation and influence, these findings show that (1) both adults and children preferentially imitate more skilled and prestigious individuals, usually unconsciously, (2) this imitation occurs even when the ‘thing being imitated’ is not clearly connected to the imitatee’s domain of skill or prestige,

(3) children preferentially imitate older, same-sex models across a wide range of behavioral domains, and (4) people remember what prestigious individuals say more than same-status individuals and unconsciously align their opinions with prestigious individuals, even when the individual's opinion is not related to their domain of prestige (e.g., people care about Tiger Woods' car preferences). Combined with numerous related findings, this work indicates prestige transmission is part of the cultural learning processes that build and adapt our brains to local social and environmental circumstances.

#### *Cobbling up from Cultural Learning to Sociological Phenomena*

Cultural learning mechanisms not only provide cognitively realistic and developmentally plausible mechanisms for acquiring adaptive behaviors and strategies in complex, variable environments, they also provide a foundation for building a higher level set of theories that target population-level phenomena. Using formal modeling techniques, cultural learning mechanisms that are both theoretically and empirically grounded—like prestige-biased transmission—can be combined with population structure, environmental and ecological factors, and social interaction to rigorously study a wide range of population-level phenomena (Richerson and Boyd, this volume). To illustrate, I will mention two examples. First, ethnicity: combining social interactions in coordination dilemmas (such as whether to adopt beliefs and practices for brideprice or dowry) with prestige-biased transmission, McElreath et. al. (2003) have shown that symbolically-marked social groups ('ethnic groups') will spontaneously arise under a wide range of conditions. They also show that an 'in-group learning bias' (a bias to learn from and interact with people who share your symbolic markings) can genetically coevolve through this process, as natural selection acting on genes takes advantage of the changed social environments left in the wake of cultural evolution. Second, cooperative institutions: a combination of conformist transmission and prestige-bias transmission can stabilize cooperative strategies in large social

groups in a manner not possible in an a-cultural species (Henrich and Boyd 2001). This can lead to a process of cultural group selection and culture-gene coevolution that will generate increasingly complex social, political and economic institutions on time-scales of 100's and 1000's of years (Richerson and Boyd 1998; Richerson and Boyd 2000; Henrich 2004).

#### Endnotes

<sup>1</sup> Compiled from Woodburn (1970), Lee (1979), Silberbauer (1981), Bartram (1997) and Liebenberg (1990).

<sup>2</sup> The criticality of ontogeny in adult performance is consistent with field data on the acquisition of foraging skills among the foraging Aché . Foraging skills take a long time to perfect, and depend critically on childhood environments.

<sup>3</sup> These Chaldeans are Catholic immigrants from Iraq, see Henrich & Henrich (in press).

<sup>4</sup> While this argument has severe empirical and theoretical problems (Fehr and Henrich 2003).

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